

**THE FUTURE  
OF CLEARING  
IS RQD\***

# LEGACY CLEARING FIRMS HAVE FAILED THE MARKET.

**RQD delivers the technology, solutions and business model today's brokers expect from a correspondent clearing partner.**

## Modern Technology\*

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We are, first and foremost, a technology company, bringing innovation to an industry that has relied on outdated software providers for decades. Our platform employs the latest architectural principles, unlocking versatility, scalability, customization and speed to market.

## Seamless Integration\*

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Integrating with a new clearing firm can be painful to the point of paralysis. We turn that narrative on its head with smooth and straightforward cutover processes that take weeks, not months. And we don't require exclusive agreements, so you can freely pursue your ideal solution.

## Trust\*

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Trust that we will provide a secure venue for your securities and protect your clients' data as if it were our own. Trust that we have your back when it comes to risk monitoring and tolerance. We pride ourselves on making business decisions that protect the integrity of our firm and our clients.

## Real-Time Solutions\*

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Legacy clearing services can take hours or days, putting them out of sync with today's markets. Our API integrates directly with brokers, so we can process trades as they happen. No other firm provides clients with such immediate access to their data.

## Cloud-Native Tools\*

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Ours is the first clearing and custody solution hosted entirely on cloud-native technology. This enables us to seamlessly enhance the platform, mitigate new cybersecurity threats as they emerge and offer a superior level of resilience and redundancy that minimizes downtime.

**We're striving to streamline post-trade processes – and all these approaches are RQD\***



# CLEARING\*

RQD is on a mission to transform the antiquated post-trade landscape. Through the quality of our people and technology, we deliver stable, secure, next-generation clearing solutions. This empowers our correspondent partners to offer an unparalleled experience to their clients.

## Automated, Paperless Account Opening

Instantly fund your accounts via ACH or wire transfer to quickly enable trading

## Unparalleled Reporting

Access reports, statements and confirmations in real time via API

## Flexible Cost Framework

Leverage exceptional clearing solutions regardless of your business model

## ACATS Made Easy

Automatically initiate ACATS transfers and get transfer statuses in real time via API

## Technology at the Forefront

Benefit from modern architecture and collaborate with our in-house development team for custom enhancements

## Supported Asset Classes

- \* U.S. Listed Equities
- \* U.S. Listed Options
- \* U.S. ETFs

## Clearing Methods

- \* Correspondent Clearing
- \* Option CMTA
- \* Delivery Versus Payment

# CUSTODY\*

Experience, dedication and risk management define everything we do. Our custody solutions are purpose-built to meet the most demanding regulatory requirements. We safeguard your data as if it were our own – and we've taken a variety of steps to enable this to occur.

## Protection in the Cloud

Microsoft Azure delivers an exceptional level of data security

## Robust Security Center

Identify and mitigate potential vulnerabilities in real time

## Seasoned Compliance Team

Experienced staff with a strong grasp of modern technology

## Instant Access

Geo-replication of resources means data is available for immediate retrieval

## Supported Asset Classes

- \* U.S. Listed Equities
- \* U.S. Listed Options
- \* U.S. ETFs

## Clearing Methods

- \* Cashiering
- \* Dividend
- \* Corporate Action
- \* Tax Processing
- \* And much more

## Securities Lending

- \* Most competitive rates for accounts carrying short positions
- \* Robust "Easy to Borrow" list
- \* Deep inventory of locates

## Clearing Methods

- \* Competitive rates
- \* Real-time risk and margin updates

# EXECUTION SERVICES\*

With the pace of change in today's markets only getting faster, a partner with the technology needed to keep up is a must. Our collocated execution services enable you to complete your clients' U.S. equities and options orders with confidence, providing the speed, transparency and execution quality they demand.

## Algos and SOR

Sophisticated smart order routing technology and an advanced suite of algorithms designed to deliver the highest possible fill quality

## Low-Latency DMA

Ultra-low-latency access to the U.S. options and equities markets

## Multi-Dealer Capability

Indication of Interest (IOI) mechanism supports multi-dealer platforms

## Deep Domain Expertise

Extensive knowledge of exchange order mechanisms, allocation logic and fee intricacies

## A CLOUD FOR CLEARING

**How does our novel approach to execution, custody and clearing technology benefit our clients?**

- \* Real-Time Efficiencies
- \* Advanced Encryption
- \* High Scalability
- \* Serverless Design
- \* Global Presence
- \* Microsoft Azure

## LOCATIONS

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